



SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
212810US2PCTSERIAL NO.
09/926,078

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shinsuke UGA, et al.

FILING DATE

August 27, 2001

GROUP

2663 *2664*

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

RECEIVED
DEC 4 2001
Technology Center 2800

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
<i>✓</i>	AO	10-22996	01/23/98	JAPAN		
<i>✓</i>	AP	7-245606	09/19/95	JAPAN (submitting English Abstract only)		
<i>✓</i>	AQ	7-327257	12/12/95	JAPAN (submitting English Abstract only)		
<i>✓</i>	AR	10-66157	03/06/98	JAPAN		
<i>✓</i>	AS	5-22284	01/29/93	JAPAN (submitting English Abstract only)		
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

<i>✓</i>	AW	D. W. DAVIES, et al., Network Security, pages 77-78 and 121-123, "SECURITY FOR COMPUTER NETWORKS", 1985 (submitting partial English translation only)
<i>✓</i>	AX	3rd. Generation Partnership Project; Technical Specification Group Services and System Aspects; 3G Security; Security Architecture (Release 1999), pages 1-62, "3GPP TS 33. 102 V3.6.0", October 2000
	AY	
	AZ	

Examiner

WCH

Date Considered

9/30/01

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OCT 21 2002

SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

212810US2PCT

SERIAL NO.

09/02/01

RECEIVED

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shinsuke UGA, et al.

OCT 22 2002

FILING DATE

August 27, 2001

GROUP

2600

Technology Center 2600

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
RL	AA	6,016,350	01/18/2000	C. FUNABE, et al.			
RL	AB	5,987,137	11/16/99	A. KARPPANEN, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
RL	AO	WO 97/13353	04/10/97	WIPO		
RL	AP	0 464 563	01/08/92	EUROPE		
RL	AQ	WO 98/49855	11/05/98	WIPO		
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	ETSI TS 133 102 V3.3.1, pgs. 1-60, XP-002167547, "UNIVERSAL MOBILE TELECOMMUNICATIONS SYSTEM (UMTS); 3G SECURITY; SECURITY ARCHITECTURE (3G TS 33.102 VERSION 3.3.1 RELEASE 1999)", January 1, 2000				
	AX					
	AY					
	AZ					

☐ Additional References sheet(s) attached

Examiner

g. Carter

Date Considered

9/24/01

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
212810US2PCTSERIAL NO.
09/926,078

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Shinsuke UGA, et al.FILING DATE
August 27, 2001GROUP
2003 2680

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
FL	AA	5,960,086	09/28/99	M. M. ATALLA			
	AB	5,930,239	07/27/99	J. E. TURCOTTE			
	AC	5,878,142	03/02/99	A. A. CAPUTO, et al.			
	AD	5,239,294	08/24/93	M. B. FLANDERS, et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

RECEIVED

JUN 20 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES ⁵ NO	
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.